

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0157  
Expires 11/30/2023

"Public reporting burden for this collection of information is estimated to average 2.2 hours. This includes the time for collecting, reviewing, and reporting the data. The information requested is required to obtain a benefit. This form is used to verify allowable and reasonableness of grant expenses. There are no assurances of confidentiality. HUD may not conduct or sponsor, and an applicant is not required to respond to a collection of information unless it displays a currently valid OMB control number.

PHA Name <b>Housing Authority of the County of Lake</b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	2021 Capital Fund Grant IL01P05650121 03/24/2021	FFY of Grant: FFY of Grant Approval: <b>2021</b>
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Type of Grant

- ☒ Original Annual Statement   
 ☐ Reserve for Disasters/Emergencies   
 ☐ Revised Annual Statement (revision no: \_\_\_\_\_)   
 ☐ Performance and Evaluation Report for Period Ending: \_\_\_\_\_   
 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 15) <sup>3</sup>	\$325,653.80			
3	1408 Management Improvements	\$50,000.00			
4	1410 Administration (may not exceed 10% of line 15)	\$162,826.90			
5	1480 General Capital Activity	\$1,089,788.30			
6	1492 Moving to Work Demonstration				
7	1501 Collateralization Expense / Debt Service Paid by PHA				
8	1503 RAD-CFP				
9	1504 RAD Investment Activity				
10	1505 RAD-CPT				
11	9000 Debt Reserves				
12	9001 Bond Debt Obligation paid Via System of Direct Payment				
13	9002 Loan Debt Obligation paid Via System of Direct Payment				
14	9900 Post Audit Adjustment				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

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<b>Part I: Summary</b>					
PHA Name: Housing Authority of the County of Lake	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	2021 Capital Fund Grant IL01P05650121 03/24/2021	FFY of Grant: FFY of Grant Approval: 2021		
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: _____)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
15	Amount of Annual Grant:: (sum of lines 2 - 14)	\$1,628,269.00			
16	Amount of line 15 Related to LBP Activities				
17	Amount of line 15 Related Sect. 504, ADA, and Fair Housing Act Activities.				
18	Amount of line 15 Related to Security - Soft Costs				
19	Amount of line 15 Related to Security - Hard Costs				
20	Amount of line 15 Related to Energy Conservation Measures				
Signature of Executive Director * <i>Lorraine Hocker</i>		Date <i>03/24/2021</i>		Signature of Public Housing Director _____	
				Date _____	

\* I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, or submitting a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

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<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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Part II: Supporting Pages								
PHA Name: Housing Authority of the County of Lake		Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:		2021 Capital Fund Grant IL01P05650121 03/24/2021		Federal FFY of Grant: 2021		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	1406 Operation	1406		\$325,653.80				
	Operating Expenditures							
IL056000002- Scattered Sites- Shloh, Warren Manor, Ha								
IL056000003 Beach haven Towers, Millview Manor, Orcha								
IL056000004 - Scattered Sites East								
IL056000005 - Scattered Sites West								
				\$50,000.00				
	1408 Management Improvements	1408						
	Staffing, Staff Training Program Policies & Procedures, System Improvements.							
IL056000002- Scattered Sites- Shloh, Warren Manor, Ha								
IL056000003 Beach Haven Towers, Millview Manor, Orcha								
IL056000004 - Scattered Sites East								
IL056000005 - Scattered Sites West								

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<sup>2</sup> To be completed for the Performance and Evaluation Report.

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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	1410 Administration	1410		\$162,826.90				
	Salaries, Benefits & Administrative Cost - Capital Fund Program							
IL056000002 - Scattered Sites- Shiloh, Warren Manor, Har								
IL056000003 - Scattered Sites- Beach Haven Towers, Milv								
IL056000004 - Scattered Sites East								
IL056000005 - Scattered Sites West								
	1480 General Capital Activity	1480		\$1,089,788.30				
	Exterior Building Modification, Including Siding, Roofs, Gutters, Tuck Pointing							
IL056000002 - Scattered Sites- Shiloh, Warren Manor, Har	Vacant Unit Reductions repair work, & Cleaning Appliances, Mailboxes, officespace, Furniture & Equ							
an Towers, Milview Manor, Orchard Manor & John Kueste	Interior Modifications including Floors, Plumbing, Electrical, Cabinetry, Doors, Fixtures, Windows & C							
IL056000004 - Scattered Sites East	Site Improvements Concrete, Asphalt, Seal Coating, Landscaping, Tree Removal & Snow Removal. S							
IL056000005 - Scattered Sites West	Systems Upgrades/Maintenance Including Furnaces, Water Heaters, Boilers, Air Conditioners & Ener							
	Attorney Fees & Cost, Correspondence with the PHA's Residents							

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OMB No. 2577-0157  
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[illegible]

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Office of Public and Indian  
HousingOMB No. 2577-0157  
Expires 3/31/2020**Part I: Summary**

PHA Name/Number Housing Authority the County of Lake / IL056			Locality (City/County & State) Grayslake, Lake County, Illinois		Original 5-Year Plan	Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2021	Work Statement for Year 2 FFY 2022	Work Statement for Year 3 FFY 2023	Work Statement for Year 4 FFY 2024	Work Statement for Year 5 FFY 2025
B.	Physical Improvements Subtotal \$1,089,788.30	Annual Statement	\$1,089,788.30	\$1,089,788.30	\$1,089,788.30	\$1,089,788.30
C.	Management Improvements	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration	\$162,826.90	\$162,826.90	\$162,826.90	\$162,826.90	\$162,826.90
F.	Other					
G.	Operations	\$325,653.80	\$325,653.80	\$325,653.80	\$325,653.80	\$325,653.80
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00
L.	Total Non-CFP Funds					
M.	Grand Total	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00	\$1,628,269.00

# Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226 Expires 3/31/2020

## Part I: Summary (Continuation)

PHA Name/Number		Locality (City/county & State)	Original 5-Year Plan		Revision No:
	Development Number Work and Name Statement for	Work Statement for Year 2 FFY 2022	Work Statement for Year 3 FFY 2023	Work Statement for Year 4 FFY 2024	Work Statement for Year 5 FFY 2025
	Year 1 FFY ____ 2021 ____				
	Annual Statement				
	IL056000002- Scattered Sites- Shiloh, Warren Manor, Hawley Manor	\$272,447.07	\$272,447.07	\$272,447.07	\$272,447.07
	IL056000003 Beach Haven Towers, Millview Manor, Orchard Manor & John Kuester	\$272,447.07	\$272,447.07	\$272,447.07	\$272,447.07
	IL056000004 - Scattered Sites East	\$272,447.07	\$272,447.07	\$272,447.07	\$272,447.07
	IL056000005 - Scattered Sites West	\$272,447.07	\$272,447.07	\$272,447.07	\$272,447.07



Office of Public and Indian  
Housing

OMB No. 2577-  
0226 Expires  
3/31/2020

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY  _____	Work Statement for Year FFY			Work Statement for Year: _____ FFY		
	Development Number/Name	Quantity	Estimated Cost	Development Number/Name	Quantity	Estimated Cost
	General Description of Major Work Categories			General Description of Major Work Categories		
See						
Annual						
Statement						
Subtotal of Estimated Cost			\$	Subtotal of Estimated Cost		

**Capital Fund Program—Five-Year Action Plan**

**U.S. Department of Housing and Urban Development**  
**Office of Public and Indian Housing**  
**OMB No. 2577-**  
**0226 Expires**  
**3/31/2020**

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY _____	Work Statement for Year FFY			Work Statement for Year: FFY		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	See					
Annual						
Statement						
	Subtotal of Estimated Cost		\$	Subtotal of Estimated Cost		\$

**U.S. Department of Housing and Urban Development**  
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**OMB No. 2577-**  
**0226 Expires**  
**3/31/2020**

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**3/31/2020**

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